# Application for Osteopathic Medical Faculty Certificate



Board of Osteopathic Medicine P.O. Box 6330 Tallahassee, FL 32314-6330 Website: https://floridasosteopathicmedicine.gov/ Email: MQA.Osteopath@flhealth.gov Phone: (850) 245-4161 Fax: (850) 412-2681



## Florida Birth Related Neurological Injury Compensation Association (NICA) Fund

All physicians licensed in Florida are required to pay into the NICA fund unless qualified for exemption. Visit <u>www.nica.com/medical-providers/</u> for information on NICA participating, non-participating, and exempt.

"**Participating**," is for Florida licensed physicians who practice obstetrics or perform obstetrical services on a full or parttime basis and do not meet any of the exemption criteria.

"**Non-participating**," is for Florida licensed physicians who do not practice obstetrics or perform obstetrical services and do not meet any of the exemption criteria.

"Exempt" - To determine if you qualify for exemption, review the exemptions listed below or visit the NICA website listed above.

- 1. Resident physicians, assistant resident physicians and interns in postgraduate training programs approved by the Board of Medicine (documentation of the dates of your program signed by the chair of your department must be provided to NICA).
- 2. Retired physicians who maintain an active license, but who have withdrawn from employment in any medically related field, as evidenced by an affidavit filed with NICA (a copy of this affidavit must be provided to the Department of Health).
- 3. Physicians who hold a limited license, as defined by chapter (ch.) 458, Florida Statutes (F.S.), who do not receive any compensation for medical services (an affidavit must be provided to NICA stating that no compensation is received for medical services).
- 4. Physicians employed full-time by the Veterans Administration whose practices are confined to Veterans Administration hospitals (a letter from your employer stating you are a full-time employee as well as an affidavit from you stating you are not engaged in the private practice of medicine must be provided to NICA).
- 5. Any licensed physician on active duty with the Armed Forces of the United States (a letter from your commanding officer stating that you are on active duty in the Armed Forces as well as an affidavit from you stating you are not engaged in the private practice of medicine must be provided to NICA).
- 6. Physicians who are full-time state of Florida employees whose practice is confined to state owned correctional facilities, mental health or developmental services facilities, or the Department of Health or County Health Department (a letter from state government documenting your employment status as well as an affidavit from you stating you are not engaged in outside employment must be provided to NICA).

Application for Osteopathic Medical Faculty Certificate Board of Osteopathic Medicine P.O. Box 6330 Tallahassee, FL 32314-6330 Fax: (850)412-2681 Email: MQA.Osteopath@fihealth.gov		Do Not Write in this Space For Revenue Receipting Only	
Osteopathic Medical Facu	ulty Certificate (1904) \$400.0	00 Total fee of \$400.0 Application Fee (No	<b>0 includes the following:</b> n-refundable) \$400.00
Fees must be paid in the form	of a cashier's check or money or	der, made payable to the D	epartment of Health.
Name: Last/Surname Mailing Address: (The address	First s where mail and your license should	Middle	Date of Birth: MM/DD/YYYY
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Street/P.O. Box		Apt. No. City	
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Street/P.O. Box         State         Approved Facility: (This address and Facility Name         Facility Name         Street       (P.O. Box Address and State         EQUAL OPPORTUNITY DATA         We are required to ask that you Guidelines on Employee Select statistical and reporting purpose         Gender:       Male       Rac         Female       Rac       Female	ZIP Country ess will be posted on the Department e not acceptable) ZIP Country a: furnish the following information as p ion Procedure (1978); 43 FR 38295 a es only and does not in any way affec e: Native Hawaiian or Pacific Isla	Home/Cell Te of Health's website) Anticipa Facility Director Name Suite No. City Work/Cell Te Work/Cell Te work/Cell Te Dart of your voluntary complian and 38296 (August 25, 1978). Tet your candidacy for licensure. ander Hispanic or Latin tive Black or African A	MM/DD/YYYY MM/DD/YYYY elephone ce with 41 CFR Part 60-3-Uniform This information is gathered for o White American Asian fill in your email address on the

## 2. SOCIAL SECURITY DISCLOSURE - REQUIRED

## This information is exempt from public records disclosure.

Pursuant to Title 42 United States Code § 666(a)(13), the department is required and authorized to collect Social Security numbers relating to applications for professional licensure. Additionally, section (s.) 456.013(1)(a), Florida Statutes (F.S.) authorizes the collection of Social Security numbers as part of the general licensing provisions.

Last Name:	 	 
First Name:	 	 
Middle Name:		 
Social Security Number:		

**Social Security Information-** \* Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by federal statute. In this instance, Social Security numbers are mandatory pursuant to Title 42 United States Code § 653 and 654; and s. 456.013(1), 409.2577, and 409.2598, F.S. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to ensure compliance with child support obligations. Social Security numbers must also be recorded on all professional and occupational license applications and will be used for license identification pursuant to Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act. 104 Pub. L. Section 317). Clarification of the SSA process may be reviewed at <u>www.ssa.gov</u> or by calling 1-800-772-1213.

## 3. APPLICANT BACKGROUND

- A. List any other name(s) by which you have been known in the past. Attach additional sheets if necessary.
- B. List the year and state/jurisdiction/country you legally began to practice medicine.
  - Year:

YYYY

State/Jurisdiction/Country

- C. Do you hold, or have you ever held a license to practice osteopathic medicine or any other professional license(s)? Yes No
- D. List all professional-related licenses (active, inactive, or lapsed).

Location:

License Type	License #	State/Jurisdiction or Country	Original Date Issued (MM/DD/YYYY)	Expiration Date (MM/DD/YYYY)	Status of License

If you listed a license or licenses above, you may be required to submit a license verification. Board staff will attempt to verify your license(s) using available primary-source information (i.e. online verifications), including disciplinary history and method of licensure. If information is not available, you will be notified in writing that license verification is required.

- E. Are you registered with the Drug Enforcement Agency (DEA) to prescribe controlled substances? Yes No
- F. If you have ever served in the United States (U.S.) Military or Public Health Service (PHS), have you ever been disciplined by any branch of the U.S. Military or PHS? Yes No N/A

#### If "Yes," provide the following:

A self-explanation on a separate sheet providing accurate details (including, but not limited to, the date(s), location(s), and specific circumstances).

**Documentation from the U.S. Military/PHS** regarding the disciplinary action and charge(s)/event(s).

G. List all osteopathic professional society or association memberships: (attach additional sheets if necessary)

Name	Address	Dates of Affiliation: From-To (MM/DD/YYYY)
		to
		to

#### 4. AVAILABILITY FOR DISASTER

Would you be willing to provide health services in special needs shelters or to help staff disaster medical assistance teams during times of emergency or major disaster? Yes No

#### 5. EDUCATION / TRAINING HISTORY

A. List the school the Doctor of Osteopathic Medicine degree was obtained from.

School Name	Dates of Attendance: From-To (MM/DD/YYYY)	Degree Awarded
	to	

All applicants must have an official transcript forwarded directly to the board office from their osteopathic medical school. Diplomas and student copies are not acceptable. Transcripts should be sent to:

**Board** of Osteopathic Medicine 4052 Bald Cypress Way Bin C-06

Tallahassee, FL 32399-3257

B. Starting with undergraduate education, list all schools, colleges, and universities attended, whether completed or not, in chronological order.

School Name/Location	Major/Minor	Dates of Attendance: From-To (MM/DD/YYYY)	Degree Awarded
		to	
		to	
		to	

C. List in chronological order from date of graduation from osteopathic medical school to the present all postgraduate training (internship/residency/fellowship), employment, and non-employment periods. All periods of time must be accounted for. Attach additional sheets if necessary.

Name of Training Program	Address	Dates of Employment: From-To (MM/DD/YYYY)		
		to	Y	Ν
		to	Y	Ν
		to	Y	Ν

# Postgraduate Training Certificates (internship, residency & fellowship): Submit a copy of your certificate for each program you completed.

D. Are you certified by any specialty board recognized by the American Osteopathic Association (AOA), American Board of Medical Specialties (ABMS), American Board of Interventional Pain Physicians (ABIPP), or American Association of Pharmaceutical Scientists (AAPS)?
 Yes

## If you responded "Yes," complete the following:

Board Name	Certification/Specialty/Subspecialty	Date of Certification (MM/DD/YYYY)

## If certified, you must supply a copy of each certification or a letter of verification.

- E. Have you ever been dropped, suspended, placed on probation, expelled, requested to resign from, or otherwise acted against by any school, college, university, internship, residency, or other training program?
   Yes No
- F. Was your attendance in osteopathic medical school or any postgraduate training program for a period of time other than the normal curriculum or established timeframe? Yes No
- G. Were you required to repeat any part of your osteopathic medical education, internship, residency, or other training program? Yes No

## If you responded "Yes" in questions E-G, you must provide the following:

A written self-explanation on a separate sheet describing in detail the circumstances.

#### A letter of explanation from the appropriate school/program.

H. Have you ever applied for, taken an examination for, or failed to receive specialty board certification or recertification for any reason? Yes No

If "Yes," explain on a separate sheet of paper with accurate details.

## 6. EXAMINATION HISTORY

Have you passed all three parts of the National Board of Osteopathic Medicine Examination (NBOME)? Yes No

If "No," list the exam(s) you have taken and provide the exam date(s).

Exam Parts	Exam Date (MM/DD/YYYY)

## 7. ACADEMIC FACULTY APPOINTMENTS / STAFF PRIVILEGES

- A. Do you currently hold a faculty appointment at an osteopathic/health related institution of higher learning? Yes No
- B. Have you had the responsibility for graduate medical education within the last 10 years? Yes No

## If you responded "Yes" to A or B, complete the following:

Name of Institution	City/State	Title of Appointment

C. Do you currently hold staff privileges in any hospital, health institution, clinic, or medical facility (do not include postgraduate training privileges)? Yes No

If you responded "Yes," complete the following:		In-State Facility	Out-of-State Facility	
Name of Facility City/State		Type of Privileges	(From-To) MM/DD/YYYY	
			to	
			to	

D. Have you ever had any staff privileges denied, suspended, revoked, modified, restricted, or placed on probation, or have you been asked to resign, or take a temporary leave of absence or otherwise acted against by any facility?
 Yes No

## If you responded "Yes," complete the following:

Name of Facility	Date (MM/DD/YYYY)	Violation	Final Action	Under Appeal?	
				Y	Ν
				Y	Ν

E. Have you ever been asked or allowed to resign from any facility in lieu of facing disciplinary action or during any pending investigations into your practice? Yes No

#### If you responded "Yes," complete the following:

Name of Facility	Date (MM/DD/YYYY)	Violation/Investigation	Reason for Resignation

F. Have you ever had any staff privileges restricted or not renewed by any facility instead of facing disciplinary action? Yes No

## If you responded "Yes," complete the following:

Name of Facility	Date (MM/DD/YYYY)	Circumstances	Final Action

## 6. OTHER ITEMS REQUIRED

A. Request Letter From The Dean- This letter must be addressed to the Board of Osteopathic Medicine, should contain an original signature and must be on the school's letterhead. The letter must also indicate the dates and location of your anticipated employment.

## B. A Current Curriculum Vitae

- C. American Osteopathic Association (AOA) Profile- <u>All applicants</u> are required to have their AOA profile made available to the board. Contact the AOA at <u>www.aoaprofiles.org</u> or by phone at 888-626-9262.
- D. Federation of State Medical Boards (FSMB) Data Check-<u>All applicants</u> are required to have an FSMB Data Check made available to the board. Contact FSMB at <u>www.fsmb.org/PDC/query-services/</u>.
- E. National Practitioner Data Bank (NPDB) Query- <u>All applicants</u> are required to have a NPDB query. The NPDB is a web-based repository of reports containing information on medical malpractice payments and certain adverse actions related to health care practitioners, providers, and suppliers. Established by Congress in 1986, it is a workforce tool that prevents practitioners from moving state to state without out disclosure or discovery of previous damaging performance. Federal regulations authorize eligible entities to report and/or query the NPDB. Board staff will complete this query when reviewing your application.

## Documents in this section must sent electronically by the originating organization or be mailed to:

**Board** *of* **Osteopathic Medicine** 4052 Bald Cypress Way Bin C-06 Tallahassee, FL 32399-3257

## This information is exempt from public records disclosure.

## 7. HEALTH HISTORY

The board and the department, as part of its responsibility to protect the health, safety, and welfare of the public, must assess whether an applicant manifests any physical, mental health, or substance use issue that impairs the applicant's ability to meet the eligibility requirements for a health care practitioner as defined in chapter (ch.) 456, F.S., and the applicable statutory practice acts.

The board and the department support applicants seeking treatment and views effective treatment by a licensed professional as enhancing the applicant's ability to meet the eligibility requirements to practice a health care profession.

Seeking assistance with stress, mild anxiety, situational depression, family or marital issues will not adversely affect the outcome of a Florida health care practitioner application. The board and the department do not request that applicants disclose such assistance.

- 1. During the last two years, have you been treated for or had a recurrence of a diagnosed physical or mental disorder that impaired or impairs your ability to practice? Yes No
- 2. During the last five years, have you been treated for or had a recurrence of a diagnosed substance-related (alcohol or drug) disorder that impaired or impairs your ability to practice? Yes No

If a "Yes" response was provided to any of the questions in this section, provide the following documents directly to the board office:

A letter from a licensed health care practitioner, who is qualified by skill and training to address the condition identified, which explains the impact the condition may have on the ability to practice the profession with reasonable skill and safety. The letter must specify that the applicant is safe to practice the profession without restrictions or specifically indicate the restrictions that are necessary. Documentation provided must be dated within one year of the application date.

A written self-explanation, identifying the medical condition(s) or occurrence(s); and current status.

## 8. DISCIPLINE HISTORY

- A. Have you ever had any professional license or license to practice osteopathic medicine revoked, suspended, placed on probation, received a citation, or other disciplinary action taken in any state, territory, or country? Yes No
- B. Have you ever had any application for a license to practice a profession, including osteopathic medicine, denied by any state board or the licensing authority of any state, territory, or country? Yes No
- C. Are you currently under investigation or prosecution in any jurisdiction for an act that would constitute a violation under s. 456.072, F.S., or s. 459.015, F.S.? Yes No
- D. Have you ever been notified to appear before any licensing agency for a hearing on a complaint of any nature including, but not limited to, a charge or violation of the Osteopathic Medicine Practice Act, unprofessional or unethical conduct?
   Yes
   No

## If you responded "Yes" in questions A-D, complete the following:

Name of Agency	State	Action Date (MM/DD/YYYY)	Final Action	Unde Appea	
				Y	Ν
				Y	Ν
				Y	Ν

## If you responded "Yes" in questions A-D, you must provide the following:

A written self-explanation, describing in detail the circumstances surrounding the disciplinary action.

A copy of all pertinent information including **Administrative Complaint(s)**, **Final Order(s)**, **and current disposition**.

- E. Have you ever had any final disciplinary action taken against you by a specialty board or other similar national organization? Yes No
- F. Have you ever been denied or surrendered a DEA registration? Yes No
- G. Have you ever received a letter of admonition or notice of administrative hearing from the DEA? Yes No
- H. Have you ever been made an offer to compromise or entered into any other arrangement or other plea agreement in lieu of federal prosecution for a drug violation regulated by the DEA? Yes No
- I. Have you ever been sanctioned by any state Medicaid program? Yes No
- J. Have you ever had an application for membership denied by an osteopathic professional society or organization? Yes No
- K. Have you ever had an osteopathic professional society or association membership suspended? Yes No
- L. Have you ever been notified to appear before an osteopathic professional society or association in regard to charges/complaints filed against you? Yes No
- M. Have you ever had employment terminated for cause? Yes No

#### If you responded "Yes" to questions E-M, you must provide the following:

A written self-explanation on a separate sheet describing in detail the circumstances.

Supporting documents from the applicable entity.

## 9. CRIMINAL HISTORY

Have you ever been convicted of, or entered a plea of guilty, nolo contendere, or no contest to any crime in any jurisdiction other than a minor traffic offense? You must include all misdemeanors and felonies, even if adjudication was withheld.

Reckless driving, driving while license suspended or revoked (DWLSR), driving under the influence (DUI) or driving while impaired (DWI) are not minor traffic offenses for purposes of this question. Yes No

## If you responded "Yes," complete the following:

Offense	Jurisdiction	Date (MM/DD/YYYY)	Final Disposition	Und Appe	
				Y	Ν
				Y	Ν
				Y	Ν

## If you responded "Yes," you must provide the following:

A written self-explanation, describing in detail the circumstances surrounding each offense; including date, city and state, charges and final results.

**Final Dispositions** and **Arrest Records** for all offenses. The Clerk of the Court in the arresting jurisdiction will provide you with these documents. Unavailability of these documents must come in the form of a letter from the Clerk of the Court.

**Completion of Sentence Documents**. You may obtain documents from the Department of Corrections. The report must include the start date, end date, and that the conditions were met.

## 10. CRIMINAL AND MEDICAID / MEDICARE FRAUD QUESTIONS

**IMPORTANT NOTICE:** Applicants for licensure, certification, or registration and candidates for examination may be excluded from licensure, certification, or registration if their felony convictions fall into certain timeframes as established in s. 456.0635(2), F.S.

Have you been convicted of, or entered a plea of guilty or nolo contendere, regardless of adjudication, to a felony under ch. 409, F.S. (relating to social and economic assistance), ch. 817, F.S. (relating to fraudulent practices), ch. 893, F.S. (relating to drug abuse prevention and control), or a similar felony offense(s) in another state or jurisdiction? Yes No

#### If you responded "No" to the question above, skip to question 2.

- a. If "Yes" to 1, for the felonies of the first or second degree, has it been more than 15 years from the date of the plea, sentence, and completion of any subsequent probation? Yes No
- b. If "Yes" to 1, for the felonies of the third degree, has it been more than 10 years from the date of the plea, sentence, and completion of subsequent probation (this question does not apply to felonies of the third degree under s. 893.13(6)(a), F.S.)?
   Yes
- c. If "Yes" to 1, for the felonies of the third degree under s. 893.13(6)(a), F.S., has it been more than five years from the date of the plea, sentence, and completion of any subsequent probation?
   Yes No
- If "Yes" to 1, have you successfully completed a drug court program that resulted in the plea for the felony offense being withdrawn or the charges dismissed (if "Yes," provide supporting documentation)?
   Yes No

Have you been convicted of, or entered a plea of guilty or nolo contendere to, regardless of adjudication, to a felony under 21 U.S.C. ss. 801-970 or 42 U.S.C. ss. 1395-1396 (relating to public health, welfare, Medicare and Medicaid issues)?
 Yes No

## If you responded "No" to the question above, skip to question 3.

- a. If "Yes" to 2, has it been more than 15 years before the date of application since the sentence and any subsequent period of probation for such conviction or plea ended? Yes No
- Have you ever been terminated for cause from the Florida Medicaid Program pursuant to s. 409.913, F.S.? Yes No

## If you responded "No" to the question above, skip to question 4.

- a. If you have been terminated but reinstated, have you been in good standing with the Florida Medicaid Program for the most recent five years? Yes No
- 4. Have you ever been terminated for cause, pursuant to the appeals procedures established by the state, from any other state Medicaid program? Yes No

## If you responded "No" to the question above, skip to question 5.

- a. Have you been in good standing with a state Medicaid program for the most recent five years? Yes No
- b. Did termination occur at least 20 years before the date of this application? Yes No
- 5. Are you currently listed on the United States Department of Health and Human Services' Office of the Inspector General's List of Excluded Individuals and Entities (LEIE)? Yes No
  - a. If you responded "Yes" to the question above, are you listed because you defaulted or are delinquent on a student loan? Yes No
  - b. If you responded "Yes" to question 5.a., is the student loan default or delinquency the only reason you are listed on the LEIE? Yes No

## If you responded "Yes" to any of the questions in this section, you must provide the following:

A written self-explanation for each question including the county and state of each termination or conviction, date of each termination or conviction, and copies of supporting documentation.

Supporting documentation including court dispositions or agency orders where applicable.

Documentation for sections 7 and 8 must be sent to the board office at MQA.Osteopath@flhealth.gov or mailed to:

> **Board** *of* Osteopathic Medicine 4052 Bald Cypress Way Bin C-06 Tallahassee, FL 32399-3257

Documentation for section 9 and 10 must be sent to the Background Screening Unit at <u>MQA.BackgroundScreen@flhealth.gov</u> or mailed to:

> **Background Screening Unit** Florida Department of Health 4052 Bald Cypress Way, Bin BSU-01 Tallahassee, FL 32399

Include your application file number, if known.

## 11. MALPRACTICE / LIABILITY CLAIM HISTORY

- A. Have you had a judgement entered against you for medical malpractice where the incident(s) of malpractice occurred **after November 2, 2004**? Yes No
- B. Within the last 10 years have you had any liability claims or actions for damages for personal injury settled or finally adjudicated in an amount that exceeds \$100,000? Yes No

## If you responded "Yes" to any of the questions in this section, you must provide the following:

A written self-explanation listing your involvement in each case.

Completed Exhibit 1 form for each case (found following the application).

#### A copy of the complaint and disposition for each case.

For judgements when the incident(s) of malpractice occurred after November 2, 2004, the entire case record must be submitted in electronic format (either PDF or TIFF), preferably on a DVD (do not send originals). The record must include:

- Initial and/or amended complaint
- Trial transcripts
- Evidentiary exhibits
- Final judgement

## 12. LIVESCAN PRIVACY STATEMENT

I have been provided and read the statement from the Florida Department of Law Enforcement regarding the sharing, retention, privacy and right to challenge incorrect criminal history records and the "Privacy Statement" document from the Federal Bureau of Investigation (found in the forms following this application).

#### The board will not receive your Livescan results if you do not confirm the above statement by checking the box.

## **Electronic Fingerprinting: (Required for ALL applicants)**

All applicants, including out-of-state applicants, are required to submit their fingerprints electronically. The Department of Health accepts electronic fingerprinting offered by Livescan service providers that are approved by the Florida Department of Law Enforcement. For a list of approved vendors, visit our website at: http://www.flhealthsource.gov/background-screening/.

Typically background results submitted by Livescan are received by the board within 24-72 hours of being processed. The board's ORI number is **EDOH2015Z**. The board cannot accept hard fingerprint cards or results. All results must be submitted electronically by the Livescan service provider.

The Florida Department of Health retains fingerprints on any applicant in the Care Provider Clearinghouse. One of the requirements for your Livescan to be retained in the Care Provider Clearinghouse is a photograph must be taken by the Livescan service provider at the time of fingerprinting. Your background screening results will be retained for five years. Licensees will be notified when their retention date is approaching and will be provided instructions on how to retain their fingerprints to avoid having to submit a new background screening.

## **13. APPLICANT SIGNATURE**

I have carefully read the questions in the application and have answered them completely, without reservations of any kind. I state that my answers and all statements made by me are true and correct. I recognize that providing false information may result in disciplinary action against my license or criminal penalties pursuant to s. 456.067, F.S.

Florida law requires me to immediately inform the board of any material change in any circumstances or condition stated in the application which takes place between the initial filing and the final granting or denial of the license and to supplement the information on this application as needed.

I authorize all hospitals, institutions or organizations, my references, personal physicians, employers (past and present), and all governmental agencies and instrumentalities (local, state, federal, or foreign) to release to the Florida Board of Osteopathic Medicine information which is material to my application for licensure.

I understand that my records are protected under federal and state regulations governing Confidentiality of Mental Health Patient Records and cannot be disclosed without my written consent unless otherwise provided in the regulations. I understand that my records are protected under federal and state regulations governing Confidentiality of Alcohol and Drug Abuse Patient Records, 42 CFR Part 2, and cannot be disclosed without my written consent unless otherwise provided in the regulations. I also understand that I may revoke this consent at any time except to the extent that action has been taken in reliance upon it.

Section 456.013(1)(a), F.S., provides that an incomplete application shall expire one year after the initial filing with the department.

Applicant Signature

You may print this application and sign it or sign digitally.

Date \_\_\_\_\_ MM/DD/YYYY

Applicants who do not currently have a practice address are required to update their online practitioner profile with a practice address when it is available.

Board of Osteopathic Medicine **Financial Responsibility** 

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Name:

The Financial Responsibility options are divided into two categories: coverage and exemptions.

Choose only ONE option that best describes your situation, unless you choose option 6 in the "Financial Responsibility Coverage" section. Not making a choice or choosing more than one option will make this form invalid. Staff is unable to advise you on which option to choose. If you have questions regarding an option, consult your legal counsel, insurance company or financial institution.

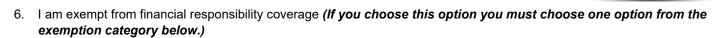
## FINANCIAL RESPONSIBILITY COVERAGE

- 1. I do not have hospital privileges and I have obtained and will maintain professional liability coverage in an amount of not less than \$100,000 per claim, with a minimum annual aggregate of not less than \$300,000, from an authorized insurer as defined under s. 624.09, F.S., from a surplus lines insurer as defined under s. 626.914(2), F.S., from a risk retention group as defined under s. 627.942, F.S., from the Joint Underwriting Association established under s. 627.351(4), F.S., or through a plan of self-insurance as provided in s. 627.357, F.S.
- 2. I have hospital staff privileges and I have obtained and maintain liability coverage in an amount not less than \$250,000 per claim, with a minimum annual aggregate of not less than \$750,000, from an authorized insurer as defined under s. 624.09, F.S., from a surplus lines insurer as defined under s. 626.914(2), F.S., from a risk retention group as defined under s. 627.942, F.S., from the Joint Underwriting Association established under s. 627.351(4), F.S., or through a plan of selfinsurance as provided in s. 627.357, F.S., or through a plan of self-insurance which meets the conditions specified for satisfying financial responsibility in s. 766.110, F.S.
- 3. I do not have hospital staff privileges and I have obtained and maintain an unexpired, irrevocable letter of credit, established pursuant to ch. 675, F.S., in an amount no less than \$100,000 per claim, with a minimum aggregate availability of credit not less than \$300,000. The letter of credit shall be payable to the osteopathic physician as beneficiary upon presentment of a final judgement indicating liability and awarding damages to be paid by the osteopathic physician or upon presentment of a settlement agreement signed by all parties to such agreement when such final judgement or settlement is a result of a claim arising out of the rendering of, or the failure to render, medical care and services. Such letter of credit shall be nonassignable and nontransferable. Such letter of credit shall be issued by any bank or savings association organized and existing under the laws of this state or any bank or savings association organized under the laws of the United States that has its principal place of business in this state or has a branch office which is authorized under the laws of this state or of United States to receive deposits in this state OR I do not have hospital staff privileges and I have established and maintain an escrow account consisting of cash or assets eligible for deposit in accordance with s. 625.52, F.S., in the per-claim amounts specified above.
- 4. I have hospital staff privileges and I have obtained and maintain an unexpired, irrevocable letter of credit, established pursuant to ch. 675, F.S., in an amount no less than \$250,000 per claim, with a minimum aggregate availability of credit not less than \$750,000. The letter of credit shall be payable to the osteopathic physician as beneficiary upon presentment of a final judgement indicating liability and awarding damages to be paid by the osteopathic physician or upon presentment of a settlement agreement signed by all parties to such agreement when such final judgement or settlement is a result of a claim arising out of the rendering of, or the failure to render, medical care and services. Such letter of credit shall be nonassignable and nontransferable. Such letter of credit shall be issued by any bank or savings association organized and existing under the laws of this state or any bank or savings association organized under the laws of the United States that has its principal place of business in this state or has a branch office which is authorized under the laws of this state or of United States to receive deposits in this state OR I have hospital staff privileges and I have established and maintain an escrow account consisting of cash or assets eligible for deposit in accordance with s. 625.52, F.S., in the per-claim amounts specified above.
- 5. I have decided to not carry malpractice insurance or otherwise demonstrate financial responsibility: however, I agree to satisfy any adverse judgements pursuant to the terms and conditions contained in s. 459.0085(5)(g), F.S. I understand that I shall be required to either post notice in the form of a sign prominently displayed in the reception area and clearly noticeable by all patients or provide a written statement to any person to whom medical services are being provided. Such sign or statement shall state that: Under Florida law, osteopathic physicians are generally required to carry malpractice insurance or otherwise demonstrate financial responsibility to cover potential claims for medical malpractice. However, certain part-time osteopathic physicians who meet state requirements are exempt from the financial responsibility law. YOUR OSTEOPATHIC PHYSICIAN MEETS THESE REQUIREMENTS AND HAS DECIDED NOT TO CARRY MEDICAL MALPRACTICE INSURANCE. This notice is provided to pursuant to Florida law.

# Board of Osteopathic Medicine **Financial Responsibility**

Page 2 of 2

Name:



## **EXEMPTION CATEGORIES OF FINANCIAL RESPONSIBILTY COVERAGE**

- 1. I practice medicine exclusively as an officer, employee, or agent of the federal government, or of the state or its agencies or subdivisions.
- 2. I hold a limited license issued pursuant to s. 459.0075, F.S., and practice only under the scope of such limited license.
- 3. I practice only in conjunction with my teaching duties at a college of osteopathic medicine (residents do not gualify for this exemption).
- 4. I have no malpractice exposure, because I do not practice in the state of Florida. I will notify the department immediately before commencing practice in the state.
- 5. I am exempt from demonstrating financial responsibility due to meeting all the following criteria (If you select this option you must also complete the "Financial Responsibility Affidavit of Exemption" form that follows this page):
  - a. I have held an active license to practice in this state or another state or some combination thereof for more than 15 years.
  - b. I am retired or maintain a part-time practice of no more than 1,000 patient contact hours per year.
  - c. I have no more than two claims resulting in an indemnity exceeding \$25,000 within the previous five-year period.
  - d. I have not been convicted of, or pled nolo contendere to any criminal violation specified in s. 459, F.S., or the practice act of any state.
  - e. I have not been subject, within the last 10 years of practice, to license revocation or suspension for any period of time, probation for a period of three years or longer, or a fine of \$500.00 or more for a violation of s. 459, F.S., or the medical practice act of another jurisdiction. The regulatory agency's acceptance of an osteopathic physician's relinquishment of a license, stipulation, consent order, or other settlement, offered in response to or in anticipation of the filing of administrative charges against the osteopathic physician's license, shall be construed as action against the physician's license for the purposes of this section. I understand that I shall be required either to post notice in the form of a sign prominently displayed in the reception area and clearly noticeable by all patients or to provide a written statement to any person to whom medical services are being provided. Such sign or statement shall state that: Under Florida law, osteopathic physicians are generally required to carry malpractice insurance or otherwise demonstrate financial responsibility to cover potential claims for medical malpractice. However, certain part-time osteopathic physicians who meet state requirements are exempt from the financial responsibility law. YOUR OSTEOPATHIC PHYSICIAN MEETS THESE REQUIREMENTS AND HAS DECIDED NOT TO CARRY MEDICAL MALPRACTICE INSURANCE. This notice is provided to pursuant to Florida law.

Section 456.067, F.S.: Penalty for giving false information - In addition to, or in lieu of, any other discipline imposed pursuant to s. 456.072, F.S., the act of knowingly giving false information in the course of applying for or obtaining a license for the department, or any board thereunder, with intent to mislead a public servant in the performance of his or her duties, or the act of attempting to obtain or obtaining a license from the department, or any board thereunder, to practice a profession by knowingly misleading statements or knowing misrepresentations constitutes a felony of the third degree, punishable in s. 775.082, F.S. s. 775.083, F.S., or s. 775.084, F.S.

Applicant Signature \_\_\_\_\_ Date \_\_\_\_

MM/DD/YYYY

If you selected an option out of options one through four in the "Financial Responsibility Coverage" section, proof of liability coverage must be sent directly by the insuring company to the board at:

Board of Osteopathic Medicine

4052 Bald Cypress Way Bin C-06

Tallahassee, FL 32399-3257

## Board *of* Osteopathic Medicine Financial Responsibility Affidavit of Exemption

This affidavit is <u>only</u> required if you are claiming exemption based on #5 on the preceding page.



I, \_\_\_\_\_, do hereby certify and attest that I meet all the following criteria:

(Name)

- a. I have held an active license to practice in this state or another state or some combination thereof for more than 15 years.
- b. I am retired or maintain a part-time practice of no more than 1,000 patient contact hours per year.
- c. I have no more than two claims resulting in an indemnity exceeding \$25,000 within the previous five-year period.
- d. I have not been convicted of, or pled nolo contendere to any criminal violation specified in s. 459, F.S., or the practice act of any state.
- e. I have not been subject, within the last 10 years of practice, to license revocation or suspension for any period of time, probation for a period of three years or longer, or a fine of \$500.00 or more for a violation of s. 459, F.S., or the medical practice act of another jurisdiction. The regulatory agency's acceptance of an osteopathic physician's relinquishment of a license, stipulation, consent order, or other settlement, offered in response to or in anticipation of the filing of administrative charges against the osteopathic physician's license, shall be construed as action against the physician's license for the purposes of this section. I understand that I shall be required either to post notice in the form of a **sign prominently displayed** in the reception area and clearly noticeable by all patients or to provide a written statement to any person to whom medical services are being provided. **Such sign or statement shall state that:** Under Florida law, osteopathic physicians are generally required to carry malpractice insurance or otherwise demonstrate financial responsibility to cover potential claims for medical malpractice. However, certain part-time osteopathic physicians who meet state requirements are exempt from the financial responsibility law. YOUR OSTEOPATHIC PHYSICIAN MEETS THESE REQUIREMENTS AND HAS DECIDED NOT TO CARRY MEDICAL MALPRACTICE INSURANCE. This notice is provided to pursuant to Florida law.

Applicant Signature	Date	
		MM/DD/YYYY
State of Count	ty of	
Sworn to and/or subscribed before me this	aday of	, 20
by		
Personally Known	OR Produced Identification	
Type of Identification Produced		
Notary Signature	Printed Name of Notary	
These signature fields cannot be t	yped. You must print the application and sig	gn it before a notary public.

[NOTARY SEAL]

## FLORIDA DEPARTMENT OF LAW ENFORCEMENT

NOTICE FOR ALL APPLICANTS SUBMITTING FINGERPRINTS WHERE CRIMINAL REOCRDS RESULTS WILL BECOME PART OF THE CARE PROVIDER BACKGROUND SCREEING CLEARINGHOUSE

## NOTICE OF:

- SHARING OF CRIMINAL HISTORY RECORD INFORMATION WITH SPECIFIED AGENCIES,
- **RETENTION OF FINGERPRINTS**,
- PRIVACY POLICY, AND
- RIGHT TO CHALLENGE AN INCORRECT CRIMINAL HISTORY RECORD

This notice is to inform you that when you submit a set of fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of conducting a search for any Florida and national criminal history records that may pertain to you, the results of that search will be returned to the Care Provider Background Screening Clearinghouse. By submitting fingerprints, you are authorizing the dissemination of any state and national criminal history record to be employed, licensed, work under contract, or serve as a volunteer, pursuant to the National Child Protection Act of 1993, as amended, and Section 943.0542, Florida Statutes. "Specified agency" means the Department of Health, the Department of Children and Family Services, the Division of Vocational Rehabilitation within the Department of Education, the Agency for Health Care Administration, the Department of Elder Affairs, the Department of Juvenile Justice, and the Agency for Person with Disabilities when these agencies are conducting state and national criminal history background screening on persons who provide care for children or persons who are elderly or disabled. The fingerprints submitted will be retained by FDLE and the Clearinghouse will be notified if FDLE receives Florida arrest information on you.

# Your Social Security Number (SSN) is needed to keep records accurate because other people may have the same name and birth date. Disclosure of your SSN is imperative for the performance of the Clearinghouse agencies' duties in distinguishing your identity from that of other persons whose identification information may be the same or similar to yours.

Licensing and employing agencies are allowed to release a copy of the state and national criminal record information to a person who requests a copy of his or her own record if the identification of your record was based on submission of the person's fingerprints. Therefore, if you wish to review your record, you may request that the agency that is screening the record provide you with a copy. After you have reviewed the criminal history record, if you believe it is incomplete or inaccurate, you may conduct a personal review as provided in S. 943.056, F.S., and Rule 11C-8.001, F.A.C. If national information is believed to be in error, the FBI should be contacted at 304-625-2000. You can receive any national criminal history record that may pertain to you directly from the FBI, pursuant to 28 CFR Sections 16.30-16.34. You have the right to obtain a prompt determination as to the validity of your challenge before a final decision is made about your status as an employee, volunteer, contractor, or subcontractor.

Until the criminal history background check is completed, you may be denied unsupervised access to children, the elderly, or persons with disabilities.

## The FBI's Privacy Statement follows on a separate page and contains additional information.

## **PRIVACY STATEMENT**

Authority: The FBI's acquisition, preservation and exchange of information requested by this form is generally authorized under 28 U.S.C. 534. Depending on the nature of your application, supplemental authorities include numerous Federal statutes, hundreds of State statutes pursuant to Pub. L.92-544, Presidential executive orders, regulations and/or orders of the Attorney General of the United States, or other authorized authorities. Examples include, but are not limited to: 5 U.S.C. 9101; Pub.L.94-29; Pub.L.101-604; and Executive Orders 10450 and 12968. Providing the requested information is voluntary; however, failure to furnish the information may affect timely completion of approval of your application.

Social Security Account Number (SSAN): Your SSAN is needed to keep records accurate because other people may have the same name and birth date. Pursuant to the Federal Privacy Act of 1974 (5 USC 552a), the requesting agency is responsible for informing you whether disclosure is mandatory or voluntary, by what statutory or other authority your SSAN is solicited, and what uses will be made of it. Executive Order 9397 also asks Federal Agencies to use this number to help identify individuals in agency records.

Principal Purpose: Certain determinations, such as employment, security, licensing and adoption, may be predicated on fingerprint-based checks. Your fingerprints and other information contained on (and along with) this form may be submitted to the requesting agency, the agency conducting the application investigation, and/or FBI for the purpose of comparing the submitted information to available records in order to identify other information that may be pertinent to the application. During the processing of this application, and for as long hereafter as may be relevant to the activity for which this application is being submitted, the FBI may disclose any potentially pertinent information to the requesting agency and/or to the agency conducting the investigation. The FBI may also retain the submitted information in the FBI's permanent collection of fingerprints and related information, where it will be subject to comparisons against other submissions received by the FBI. Depending on the nature of your application, the requesting agency and/or the agency conducting the application investigation may also retain the fingerprints and other submitted information for other authorized purposes of such agency(ies).

Routine Uses: The fingerprints and information reported on this form may be disclosed pursuant to your consent, and may also be disclosed by the FBI without your consent as permitted by the Federal Privacy Act of 1974 (5 USC 552a(b)) and all applicable routine uses as many be published at any time in the Federal Register, including the routine uses for the FBI Fingerprint Identification Records System (Justice, FBI-009) and the FBI's Blanket Routine Uses (Justice/FBI-BRU). Routine uses include, but are not limited to, disclosure to: appropriate governmental authorities responsible for civil or criminal law enforcement counterintelligence, national security or public safety matters to which the information may be relevant; to State and local governmental agencies and nongovernmental entities for application processing as authorized by Federal and State legislation, executive order, or regulation, including employment, security, licensing, and adoption checks; and as otherwise authorized by law, treaty, executive order, regulation, or other lawful authority. If other agencies are involved in processing the application, they may have additional routine uses.

Additional information: The requesting agency and/or the agency conducting the application investigation will provide additional information to the specific circumstances of this application, which may include identification of other authorities, purposes, uses and consequences of not providing requested information. In addition, any such agency in the Federal Executive Branch has also published notice.

# Board *of* Osteopathic Medicine Electronic Fingerprinting

Take this form with you to the Livescan service provider. Check the service provider's requirements to see if you need to bring any additional items.



- Background screening results are obtained from the Florida Department of Law
  Enforcement and the Federal Bureau of Investigation by submitting a fingerprint scan using the Livescan method.
- You can find Livescan service providers at: <u>http://www.flhealthsource.gov/background-screening/</u>.
- Failure to submit background screening will delay your application.
- Applicants may use any Livescan service provider approved by the Florida Department of Law Enforcement to submit their background screening to the department.
- If you do not provide the correct Originating Agency Identification (ORI) number to the Livescan service provider, the board office will not receive your background screening results.
- The ORI number for the Board of Osteopathic Medicine is **EDOH2015Z**.
- You must provide accurate demographic information to the Livescan service provider at the time your fingerprints are taken, **including your Social Security number (SSN)**.
- Typically background screening results submitted through a Livescan service provider are received by the board within 24-72 hours of being processed.
- If you obtain your Livescan from a service provider who does not capture your photo you may be required to be reprinted by another agency in the future.

Name:		SSN#:
Last	First Mi	iddle
Aliases:		
Address:		Apt. Number:
City:	State:	ZIP:
Date of Birth: Place	e of Birth:	
Weight: Height:	Eye Color:	Hair Color:
Race: (W-White/Latino(a); B-Black; A- Asian; N	IA-Native American; U-Unk	Nown) (M= Male; F=Female)
Citizenship:		
Transaction Control Number (TCN#):		ded to you by the Livescan service provider.)

## Keep this form for your records.

This form is required for ALL applicants.

## Board *of* Osteopathic Medicine Florida Birth-Related Neurological Injury Compensation Association (NICA) Form



All applicants must choose one of the three options described below. Check only one.

Visit www.nica.com/medical-providers/ for information on NICA participating, non-participating, and exempt.

Exempt- \$0.00	Non-participating- \$250.00	Participating- \$5,000.00	Amount Enclosed: \$

For applicants who choose "**Participating**", NICA provides eligible children with lifetime benefits for catastrophic claims resulting from certain birth-related neurological injuries. In order to participate, a physician must:

- 1. Be licensed to practice medicine in Florida
- 2. Practice obstetrics or perform obstetrical services on a full or part-time basis; and
- 3. Have paid, or been exempted from paying, the required assessment when the incident occurred.

For applicants who choose "**Non-participating**," a mandatory annual fee of \$250.00 is paid by every physician in Florida who is not Participating or Exempt.

**Participating and Non-participating applicants** must complete and attach this form and appropriate fees to the application or submit to the Board of Osteopathic Medicine at:

Board of Osteopathic Medicine

4052 Bald Cypress Way Bin C-06

Tallahassee, FL 32399-3257

Applicants claiming exemption must complete this form, and return it with proof of qualification for the exemption to:

Board <i>of</i> Osteopathic Medicine		NICA
4052 Bald Cypress Way Bin C-06	AND	P.O. Box 14567
Tallahassee, FL 32399-3257		Tallahassee, FL 32317-4567

**Exemptions Include:** 

- 1. Resident physicians, assistant resident physicians and interns in postgraduate training programs approved by the Board of Osteopathic Medicine (documentation of the dates of your program signed by the chair of your department must be provided to NICA).
- 2. Retired physicians who maintain an active license, but who have withdrawn from employment in any medically related field, as evidenced by an affidavit filed with NICA (a copy of this affidavit must be provided to the Department of Health).
- Physicians who hold a limited license, as defined by ch. 458, F.S., who do not receive any compensation for medical services (an affidavit must be provided to NICA stating that no compensation is received for medical services).
- 4. Physicians employed full-time by the Veterans Administration whose practices are confined to Veterans Administration hospitals (a letter from your employer stating you are a full-time employee as well as an affidavit from you stating you are not engaged in the private practice of medicine must be provided to NICA).
- 5. Any licensed physician on active duty with the Armed Forces of the United States (a letter from your commanding officer stating that you are on active duty in the Armed Forces as well as an affidavit from you stating you are not engaged in the private practice of medicine must be provided to NICA).
- 6. Physicians who are full-time state of Florida employees whose practice is confined to state owned correctional facilities, mental health or developmental services facilities, or the Department of Health or County Health Department (a letter from state government documenting your employment status as well as an affidavit from you stating you are not engaged in outside employment must be provided to NICA).

It is each physician's obligation to notify NICA of a subsequent change in status with regard to a claimed exemption. For questions about NICA or this form, contact NICA at <u>www.nica.com</u> or (850) 488-8191.

Applicant Name:				
Address:				
Street and Number	City	State	ZIP	
I have read the information provided by NICA at <u>www.nica</u>	a.com and I have selected t	he option abo	/e.	
Applicant Signature	Date	MM/DD/YYY	Y	
		D		

Complete verifications must be sent directly from the training evaluator to the board office at <u>MQA.Osteopath@flhealth.gov</u>, or mailed to:

**Board** *of* **Osteopathic Medicine** 4052 Bald Cypress Way Bin C-06 Tallahassee, FL 32399-3257

# **Board** *of* Osteopathic Medicine Postgraduate Training Evaluation Form

Name: \_\_\_\_\_

## Part I: To be completed by applicant

Institution Name:					
Department:					
Address:					
City:	_State:	ZIP:			
Phone Number:					

## Part II: To be completed by Training Institution

The above-named doctor has applied for licensure in the state of Florida. Please complete the entire form and affix the hospital seal. If your hospital has no seal, indicate that on this form.

- 1. Dates of attendance: \_\_\_\_\_\_ to \_\_\_\_\_\_ MM/DD/YYYY
- 2. The levels completed under your purview: Internship/PGY I PGY II
- 3. Has the physician named above completed an **AOA or AGME accredited** internship or residency of not less than 12 months? Yes No
- 4. Select the physician's overall rating from the selection below (If 3 is selected, explain on a separate sheet):

	1. Outstanding	2. Qualified/Competent	3. Less than Satisfactory	
F	Program Director/Chair Na	ame		
S	Signature		Date MM/DD/YYYY	 (
Affix	Hospital Seal			



PGY III

PGY IV

PGY V

# Board of Osteopathic Medicine Exhibit I- Report on Professional Liability Claims and Actions Page 1 of 2



Include information relating to liability actions occurring within the previous 10 years. The actions are required to be reported under s. 456.039 (1)(b), F.S. You must submit a completed form for each occurrence. Copies of reports previously submitted under the requirements of s. 456.049, F.S., may be submitted in lieu of this exhibit to satisfy this reporting requirement.

Date of occurrence: MM/DD/YYYY	Date reported to licensee:		te claim reported nsurer or self-insurer: MM/DD/YYYY
Injured person's full name:			
Street Address:			
City:		_State:	ZIP:
Age:	Sex:		
List all defendants with their hea	•	e number involved ir	
	Defendant		Health Care Provider License #
Date of suit, if filed:	Ŷ	Date of final claim	disposition: MM/DD/YYYY
Date of judgement/settlement, if	any: MM/DD/YYYY	Amount of judgem	ent/settlement, if any: \$
Was there an itemized verdict?	Yes No	lf "Yes," a	attach a copy of the settlement verdict.
Indemnity paid on behalf of this	defendant:	\$	_
Loss Adjustment expense paid t	o defense counsel:	\$	_
All other loss adjustment expens	se paid:	\$	_
If no judgement or settlement, p	rovide the following:	Date: MM/DD/YYY	Reason: Y
Name of institution at which the	injury occurred:		

Location of injury occurrence:

Critical Care Unit	Emergency Room	Emergency Room Labor & Delivery Room	
Nursery	Operating Suite	Patient's Room	
Physical Therapy Dept.	Radiology	Recovery Room	
Special Procedures Room	Other:		

Board of Osteopathic Medicine Exhibit I- Report on Professional Liability Claims and Actions Page 2 of 2



Final diagnosis for which treatment was sought or rendered:

Describe misdiagnosis made, if any, of the patient's actual condition:

Describe the operation, diagnostic, or treatment procedure causing the injury. Use nomenclature and/or description of the procedures used. Include method of anesthesia, or name of drug used for treatment, with detail of administration.

Describe the principal injury giving rise to the claim. Use nomenclature and/or description of the injury. Include type of adverse effect from drugs where applicable.

Safety management steps taken by the licensee to make similar occurrences less likely.

I represent that these statements are true and correct pursuant to s. 837.06, F.S. I recognize that providing any false statements made in writing with the intent to mislead the department staff in the performance of their official duties shall be punishable as provided in s. 775.082 and 775.083, F.S.

Applicant Name

Applicant Signature \_\_\_\_\_

Complete verifications must be sent directly from the licensing agency to the board office at MQA.Osteopath@flhealth.gov, or mailed to:

Board of Osteopathic Medicine 4052 Bald Cypress Way Bin C-06 Tallahassee, FL 32399-3257



# Board of Osteopathic Medicine License Verification Request

Part I: To be completed by applicant (Florida requires verification of all your current and previously held licenses.)

Name:			
Address:			
Name original license wa	as issued under:		
License Number:		State:	
l hereby authorize releas Medicine.	se of any information regarding my licen	sure status to the Florida Bo	ard of Osteopathic
Applicant Signature:		Date:	MM/DD/YYYY

## Part II: To be completed by state licensing agency

All verifications must be in English and include the following criteria:

- Typed on an official state form or letterhead
- \* Include an official board seal
- Signature and title of state board official

The following information must be included in all verifications:

- \* License number \* State or jurisdiction of licensure Licensee name
- Licensure status

- \* Is license in good standing?
- Date of issuance/expiration
- Licensure method (examination or reciprocity/endorsement)
- Has this license ever been encumbered (denied, revoked, suspended, surrendered, limited, placed on probation)?
- \* If this license has ever been encumbered, please provide certified copies of documentation regarding the action with the completed license verification.